

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L27104UP1984PLC023979

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCS7280C

(ii) (a) Name of the company

JINDAL SAW LIMITED

(b) Registered office address

A-1, UPSIDC INDUSTRIAL AREA, NANDGAON ROAD  
KOSI KALAN, MATHURA  
UP  
Uttar Pradesh  
281403

(c) \*e-mail ID of the company

sunil.jain@jindalsaw.com

(d) \*Telephone number with STD code

01126188345

(e) Website

www.jindalsaw.com

(iii) Date of Incorporation

31/10/1984

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67120DL1950PTC001854

Pre-fill

Name of the Registrar and Transfer Agent

RCMC SHARE REGISTRY PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

B-25/1, OKHLA INDUSTRIAL AREA  
PHASE II

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

16/07/2021

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C7	Metal and metal products	82.55
2	B	Mining and quarrying	B3	Mining of Metal Ores	11.58

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

27

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	JINDAL ITF LIMITED	U74900UP2007PLC069247	Subsidiary	51

2	IUP JINDAL METALS & ALLOYS	U74999DL2004PLC128194	Subsidiary	80.71
3	QUALITY IRON AND STEEL LIM	U12000DL2007PLC163469	Subsidiary	100
4	JINDAL TUBULAR (INDIA) LIMIT	U28910UP2015PLC068768	Subsidiary	100
5	JINDAL QUALITY TUBULAR LIM	U28910UP2015PLC073321	Subsidiary	67
6	JITF SHIPYARDS LIMITED	U35122UP2007PLC069366	Subsidiary	100
7	JINDAL INTELICOM LIMITED	U74899DL1988PLC033588	Subsidiary	98.78
8	ICOM ANALYTICS LIMITED	U74900DL2010PLC206853	Subsidiary	98.78
9	SULOG TRANSSHIPMENT SERV	U61200UP2011FLC089538	Subsidiary	51
10	JINDAL FITTINGS LIMITED	U27100DL2011PLC219075	Associate	36
11	S.V. TRADING LIMITED		Subsidiary	100
12	RALAEEL HOLDINGS LIMITED		Subsidiary	100
13	JINDAL SAW HOLDINGS FZE		Subsidiary	100
14	GREENRAY HOLDINGS LIMITEC		Subsidiary	100
15	JINDAL SAW GULF L.L.C.		Subsidiary	49
16	WORLD TRANSLOAD & LOGIST		Subsidiary	100
17	5101 BOONE LLP		Subsidiary	100
18	TUBE TECHNOLOGIES INC		Subsidiary	100
19	JINDAL SAW USA, LLC		Subsidiary	100
20	JINDAL MMG, LLC		Joint Venture	50
21	JINDAL SAW MIDDLE EAST FZC		Subsidiary	100
22	DERWENT SAND SARL		Subsidiary	99.62
23	HELICAL ANCHORS INC		Subsidiary	100
24	BOONE REAL PROPERTY HOLD		Subsidiary	100
25	DRILL PIPE INTERNATIONAL LL		Subsidiary	100
26	JINDAL INTERNATIONAL FZE		Subsidiary	100

27	JINDAL X LLC		Subsidiary	98.78
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#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,775,000,000	319,761,367	319,757,367	319,757,367
Total amount of equity shares (in Rupees)	3,550,000,000	639,522,734	639,514,734	639,514,734

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	1,775,000,000	319,761,367	319,757,367	319,757,367
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	3,550,000,000	639,522,734	639,514,734	639,514,734

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	10,000,000	0	0	0
Total amount of preference shares (in rupees)	1,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>PREFERENCE SHARES</b>				
Number of preference shares	10,000,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	1,000,000,000	0	0	0

###### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

###### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	3,693,750	316,063,617	319757367	639,514,734	639,514,734	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	3,693,750	316,063,617	319757367	639,514,734	639,514,734	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0

iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) \*Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	7,500	1000000	7,500,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			<b>7,500,000,000</b>

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	2,900,000,000	5,000,000,000	400,000,000	7,500,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

89,098,000,516

(ii) Net worth of the Company

71,381,826,795.01

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	10,543,750	3.3	0	
	(ii) Non-resident Indian (NRI)	76,650	0.02	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	190,895,401	59.7	0	
10.	Others	0	0	0	
	<b>Total</b>	201,515,801	63.02	0	0

**Total number of shareholders (promoters)**

44

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	65,985,380	20.64	0	
	(ii) Non-resident Indian (NRI)	2,635,383	0.82	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,863,518	0.58	0	

4.	Banks	21,530	0.01	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	30,499,386	9.54	0	
7.	Mutual funds	773,000	0.24	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	11,900,272	3.72	0	
10.	Others Trust, Clearing Members, N	4,563,097	1.43	0	
	<b>Total</b>	118,241,566	36.98	0	0

**Total number of shareholders (other than promoters)**

78,550

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

78,594

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
LOS ANGELES CITY EM	CITIBANK N.A. CUSTODY SERVICES			21,317	0.01
THE EMERGING MARK	CITIBANK N.A. CUSTODY SERVICES			601,378	0.19
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES			988,810	0.31
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES			55,855	0.02
UTAH STATE RETIREM	CITIBANK N.A. CUSTODY SERVICES			32,989	0.01
T.A. WORLD EX U.S. CO	CITIBANK N.A. CUSTODY SERVICES			62,028	0.02
CITY OF LOS ANGELES	CITIBANK N.A. CUSTODY SERVICES			33,472	0.01
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES			854,627	0.27
OPSEU PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES			78,111	0.02
DFA AUSTRALIA LIMIT	CITIBANK N.A. CUSTODY SERVICES			46,924	0.01
WORLD EX U.S. TARGE	CITIBANK N.A. CUSTODY SERVICES			28,520	0.01
WORLD EX U.S. CORE	CITIBANK N.A. CUSTODY SERVICES			68,205	0.02
GOVERNMENT PENSIC	CITIBANK N.A. CUSTODY SERVICES			847,762	0.27

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
MORGAN STANLEY AS	CITIBANK N.A. CUSTODY SERVICES			748,301	0.23
JOHN HANCOCK VARI	CITIBANK N.A. CUSTODY SERVICES			29,627	0.01
JOHN HANCOCK FUNI	CITIBANK N.A. CUSTODY SERVICES			11,500	0.01
QUEENSLAND INVEST	CITIBANK N.A. CUSTODY SERVICES			34,408	0.01
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES			26,493	0.01
WISDOMTREE INDIA I	CITIBANK N.A. CUSTODY SERVICES			301,113	0.09
WISDOMTREE EMERGI	CITIBANK N.A. CUSTODY SERVICES			628,207	0.2
WISDOM TREE ISSUER	CITIBANK N.A. CUSTODY SERVICES			8,320	0
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES			184	0
VANGUARD INVESTMI	CITIBANK N.A. CUSTODY SERVICES			1,275	0
ALASKA PERMANENT	CITIBANK N.A. CUSTODY SERVICES			94,991	0.03
CITY OF NEW YORK GF	CITIBANK N.A. CUSTODY SERVICES			172,057	0.05

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
**(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	46	44
Members (other than promoters)	58,575	78,628
Debenture holders	2	3

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	3	1	3	0.01	0.04
<b>B. Non-Promoter</b>	2	7	2	7	0	0
(i) Non-Independent	2	0	2	0	0	0

(ii) Independent	0	7	0	7	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>10</b>	<b>3</b>	<b>10</b>	<b>0.01</b>	<b>0.04</b>

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 15

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Shri Prithavi Raj Jindal	00005301	Director	450	
Ms. Sminu Jindal	00005317	Managing Director	47,750	
Ms. Shradha Jatia	00016940	Director	75,250	
Ms. Tripti Arya	00371397	Director	47,750	
Shri Neeraj Kumar	01776688	Whole-time director	0	
Shri Hawa Singh Chaud	00041370	Whole-time director	0	
Dr. Raj Kamal Aggarwal	00005349	Director	0	
Shri Ravindra Nath Leel	00888433	Director	0	
Shri Abhiram Tayal	00081453	Director	0	
Shri Girish Sharma	05112440	Director	0	
Shri Sanjeev Shankar	06872929	Director	0	
Dr. Vinita Jha	08395714	Director	0	
Shri Ajit Kumar Hazarika	00748918	Director	0	
Shri Sunil K. Jain	ADKPJ9105E	Company Secretary	0	
Shri Narendra Mantri	AGEPM0940M	CFO	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	25/09/2020	72,387	47	34.35

**B. BOARD MEETINGS**

\*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	27/06/2020	13	13	100
2	04/08/2020	13	11	84.62
3	05/11/2020	13	12	92.31
4	09/11/2020	13	13	100
5	11/01/2021	13	12	92.31
6	05/02/2021	13	11	84.62
7	26/02/2021	13	9	69.23
8	26/03/2021	13	11	84.62

**C. COMMITTEE MEETINGS**

Number of meetings held

30

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	27/06/2020	6	6	100
2	Audit Committee	04/08/2020	6	6	100
3	Audit Committee	09/11/2020	6	6	100
4	Audit Committee	24/11/2020	6	5	83.33
5	Audit Committee	05/02/2021	6	6	100
6	Nomination & Remuneration	26/06/2020	4	4	100
7	Nomination & Remuneration	26/02/2021	4	4	100
8	Nomination & Remuneration	26/03/2021	4	4	100
9	Stakeholders Meeting	18/09/2020	6	6	100
10	Stakeholders Meeting	28/12/2020	6	5	83.33

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 16/07/2021 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
		1	Shri Prithavi R	8	6	75	0	0
2	Ms. Sminu Jin	8	5	62.5	5	3	60	Yes
3	Ms. Shradha J	8	6	75	0	0	0	Yes
4	Ms. Tripti Arya	8	5	62.5	0	0	0	No
5	Shri Neeraj Ku	8	8	100	25	24	96	Yes
6	Shri Hawa Sin	8	8	100	15	0	0	Yes
7	Dr. Raj Kamal	8	8	100	16	15	93.75	Yes
8	Shri Ravindra	8	8	100	14	14	100	Yes
9	Shri Abhiram	8	8	100	1	1	100	Yes
10	Shri Girish Sha	8	7	87.5	11	11	100	No
11	Shri Sanjeev S	8	8	100	7	7	100	Yes

12	Dr. Vinita Jha	8	8	100	5	5	100	Yes
13	Shri Ajit Kuma	8	7	87.5	15	15	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ms. Sminu Jindal	Managing Director	16,424,602	9,000,000		750,000	26,174,602
2	Shri Neeraj Kumar	Group CEO and	66,528,641			750,000	67,278,641
3	Shri Hawa Singh Ch	Whole Time Director	8,192,433			535,164	8,727,597
	Total		91,145,676	9,000,000		2,035,164	102,180,840

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Shri Sunil K. Jain	Company Secretary	8,322,507			542,064	8,864,571
2	Shri Narendra Mant	CFO	16,507,452			750,000	17,257,452
	Total		24,829,959	0		1,292,064	26,122,023

Number of other directors whose remuneration details to be entered

10

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Shri Prithavi Raj Jin	Chairman-Non Executive	0	0	0	450,000	450,000
2	Ms. Tripti Arya	Non Executive	0	0	0	375,000	375,000
3	Ms. Shradha Jatia	Non Executive	0	0	0	450,000	450,000
4	Shri Ajit Kumar Haz	Independent Director	0	200,000	0	1,020,000	1,220,000
5	Dr. Raj Kamal Agga	Independent Director	0	300,000	0	1,125,000	1,425,000
6	Shri Ravindra Nath	Independent Director	0	200,000	0	1,065,000	1,265,000
7	Shri Abhiram Tayal	Independent Director	0	200,000	0	675,000	875,000
8	Shri Sanjeev Shank	Independent Director	0	200,000	0	855,000	1,055,000
9	Shri Girish Sharma	Independent Director	0	200,000	0	900,000	1,100,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
10	Dr. Vinita Jha	Independent Dir	0	200,000	0	795,000	995,000
	Total		0	1,500,000	0	7,710,000	9,210,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Ajay Kumar Jain

Whether associate or fellow

Associate  Fellow

Certificate of practice number

21898

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ..  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**To be digitally signed by**

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

**List of attachments**

<input type="button" value="Attach"/>	ListofNCDs.pdf
<input type="button" value="Attach"/>	MGT8.pdf
<input type="button" value="Attach"/>	DetailsofTransfer.pdf
<input type="button" value="Attach"/>	ListofCommitteeMeetings.pdf
<input type="button" value="Attach"/>	ListofFIs.pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**Form No. MGT-8**

*[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies  
(Management and Administration) Rules, 2014]*

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

We have examined the registers, records, books and papers of **JINDAL SAW LIMITED (CIN: L27104UP1984PLC023979)** ('the Company') as required to be maintained under the Companies Act, 2013 (the Act) and the Rules made thereunder for the financial year ended 31<sup>st</sup> March, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- a) The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- b) During the aforesaid financial year:
  - i. the Company has complied with provisions of the Act & Rules made there under in respect of its status under the Act;
  - ii. the Company has complied with provisions of the Act & Rules made there under in respect of maintenance of registers/records and making entries therein within the time prescribed thereunder;
  - iii. the Company has complied with provisions of the Act & Rules made there under in respect of filing of forms and returns as stated in the Annual Return, with the Registrar of Companies with/without additional fee. The Company has not filed any form or return with Regional Director, Central Government, the Tribunal, Court or other authorities;
  - iv. the Company has complied with provisions of the Act & Rules made there under in respect of calling/ convening/ holding meetings of Board of Directors/ Board Committees and the meetings of the Members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions, if any, have been properly recorded in the Minute Book/Registers maintained for the purpose and the same have been signed;
  - v. the Company has complied with provisions of the Act & Rules made there under in respect of closure of Register of Members;
  - vi. the Company has complied with provisions of the Act and Rules made there under in respect to advance /loans to its directors and/or persons or firms or companies referred to in Section 185 of the Act;

# Jus & Associates

Company Secretaries

- vii. the Company has entered into transactions with related parties in compliance with the provisions of Section 177 and Section 188 of the Act and the details of such related party transactions have been disclosed in the standalone financial statements as required under the Act;
- viii. the Company has not issued or allotted or effected buy back of securities / redemption of preference shares or alteration or reduction of share capital/ conversion of shares/ securities during the period under review;
- ix. 3,250 equity shares have been kept in abeyance as a result of attachment order by Government authorities, lost shares and other disputes. Consequently, dividend payable on the aforesaid shares has also been kept in abeyance;
- x. the Company has complied with provisions of the Act & Rules made there under in respect of declaration, transfer of unpaid/unclaimed dividend/other amounts, as applicable, to the Investor Education Fund in accordance with section 125 of the Act;
- xi. the Company has complied with the provisions of the Act & Rules made there under in respect of signing of Audited Financial Statement as per the provisions of Section 134 of the Act and Report of Directors is as per sub-sections (3), (4) and (5) thereof;
- xii. the Company has complied with provisions of the Act and Rules made there under in respect of constitution of its Board/appointment/reappointment/retirement/filling of casual vacancies and disclosures of the Directors and remuneration paid to them;
- xiii. the Company has complied with provisions of the Act & Rules made there under in respect of appointment /re-appointment of auditors as per the provisions of section 139 of the Act;
- xiv. the Company has obtained all approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act, wherever required; Further, the Company had filed a Voluntary Compounding Application dated 30th November, 2020 before the Regional Director, Reserve Bank of India, Foreign Exchange Department, Kanpur ("RBI") for compounding of delays in submitting certain forms under the Foreign Exchange Management Act, 1999. The RBI had disposed off the application and imposed compounding fee which was paid by the Company;
- xv. The Company has not accepted any deposits during the financial year;
- xvi. the Company has not made any borrowings from its directors, members during the financial year;
- xvii. the Company has complied with the provisions of the Act and Rules made there under in respect of loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act, wherever applicable;

**Jus & Associates**  
Company Secretaries

xviii. the Company has not altered its Memorandum or Articles of Association of the Company during the period under review.

**For Jus & Associates  
Company Secretaries**

AJAY Digitally signed by  
AJAY KUMAR JAIN  
KUMAR JAIN Date: 2021.09.13  
16:58:41 +05'30'

**Dr. Ajay Kumar Jain**

Membership No. FCS 1551  
Certificate of Practice No. 21898  
Firm Registration No. S2010DE695800  
Peer Review Certificate No. 1325/2021

**UDIN: F001551C000938927**

Date: 13<sup>th</sup> September, 2021  
Place: New Delhi

**Committee Meeting**
**No. of Meetings held- 30**

S No	Type of Meeting	Date of Meeting	Total No. of Members as on the date of meeting	Attendance	
				No. of Members Attended	% of Attendance
1	Audit Committee	27-June-2020	6	6	100
2	Audit Committee	04-Aug-2020	6	6	100
3	Audit Committee	09-Nov-2020	6	6	100
4	Audit Committee	24-Nov-2020	6	5	83.33
5	Audit Committee	05-Feb-2021	6	6	100
6	Nomination & Remuneration Committee	26-June-2020	4	4	100
7	Nomination & Remuneration Committee	26-Feb-2021	4	4	100
8	Nomination & Remuneration Committee	26-Mar-2021	4	4	100
9	Stakeholders & Relationship Committee	18-Sep-2020	6	6	100
10	Stakeholders & Relationship Committee	28-Dec-2020	6	5	83.33
11	Stakeholders & Relationship Committee	08-Feb-2021	6	6	100
12	Stakeholders & Relationship Committee	25-Mar-2021	6	6	100
13	Corporate Social Responsibility	31-Mar-2021	5	4	80
14	Meeting of Independent Directors	25-Jan-2021	7	7	100
15	Risk Management Committee	28-Dec-2020	6	4	66.66
16	Committee of Directors formed under section-179	15-June-2020	5	4	80
17	Committee of Directors formed under section-179	15-July-2020	5	4	80
18	Committee of Directors formed under section-179	10-Aug-2020	5	4	80



19	Committee of Directors formed under section-179	04-Sep-2020	5	4	80
20	Committee of Directors formed under section-179	28-Sep-2020	5	4	80
21	Committee of Directors formed under section-179	13-Oct-2020	5	4	80
22	Committee of Directors formed under section-179	22-Oct-2020	5	4	80
23	Committee of Directors formed under section-179	23-Nov-2020	5	4	80
24	Committee of Directors formed under section-179	01-Dec-2020	5	4	80
25	Committee of Directors formed under section-179	17-Dec-2020	5	4	80
26	Committee of Directors formed under section-179	20-Jan-2021	5	4	80
27	Committee of Directors formed under section-179	08-Feb-2021	5	4	80
28	Committee of Directors formed under section-179	16-Mar-2021	5	4	80
29	Committee of Directors formed under section-179	22-Mar-2021	5	4	80
30	Compensation Committee	14-Aug-2020	4	4	100

For Jindal Saw Limited

  
 Sunil K. Jain  
 Company Secretary  
 FCS: 3056



Add: Flat No. 551, GH Pocket-13,  
 Pashchim Vihar, New Delhi-110087

List of Non-Convertible Debenture holders as on 31.03.2021

(Amount in Crores)

S. no.	NCDs Holder	Coupon Rate	No. of Units	Nominal Value	Value
1	Life Insurance Corporation of India	8.25% p.a.	5000	10,00,000	500 crores
2	State Bank of India	10.38% p.a.	1250	10,00,000	125 crores
3	State Bank of India	10.73% p.a.	1250	10,00,000	125 crores
	<b>TOTAL</b>		<b>7500</b>		<b>7,50,00,00,000</b>

For Jindal Saw Limited



Sunil K. Jain

Company Secretary

FCS:3056

Add: Flat No. 551, GH Pocket-13,

Pashchim Vihar, New Delhi-110087

JINDAL SAW LIMITED A-1, UPSIDC, INDL.AREA, NANDGAON ROAD, KOSI KALAN, DISTT MATHURA (UP) 281403

CIN : L27104UP1984PLC023979

FORM NO. MGT-7

[Pursuant to sub-section(1) of section 92 of the Companies Act,2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules,2014]

Date of Registration of Transfer	Type of Transfer	No. of Shares	Amount per Share	Transferee Folio	Transferee Surname	Transferee Middlename	Transferee First Name	Transferee Folio	Transferee Surname	Transferee Middle Name	Transferee First Name
18/09/2020	Equity	750	2	0037912	WALIA	SINGH	BALJIT	0070892	WALIA		VANITA
28/12/2020	Equity	1500	2	0003486	AGARWAL	KUMAR RAJ	RAJ	0070893	KALRA		JYOTI
28/12/2020	Equity	500	2	0000506	KUMAR	V MALLESWARA	K	0070847	MCA	AUTHORITY	IEPF
28/12/2020	Equity	1500	2	0007899	DUTTA	NATH	BAIDYA	0070847	MCA	AUTHORITY	IEPF
28/12/2020	Equity	250	2	0012524	GOPALRATNAM	K	SHANTA	0070847	MCA	AUTHORITY	IEPF
28/12/2020	Equity	1000	2	0060356	KOTAK	SHAH	KETAN	0070847	MCA	AUTHORITY	IEPF
28/12/2020	Equity	500	2	0061729	SHAH	RAJANIKANT	VAISHALI	0070847	MCA	AUTHORITY	IEPF
08/02/2021	Equity	250	2	0038589	OBERRAI	DEVI	KRISHNA	0070894	OBERRAI	DEVIDITTA	RAJU
08/02/2021	Equity	250	2	0039016	CHAMPAKLAL	JITENDRA	VAKIL	0070895	VAKIL	JITENDRA	HEMANT
08/02/2021	Equity	1500	2	0001538	GAUR	SHANKAR	NAGENDRA	0070896	GAUR		PRAKASH
25/03/2021	Equity	500	2	0055708	RATUSARIA	MOHAN	MAN	0070897	JINDAL	ARDESHIR	MANMOHAN
25/03/2021	Equity	1500	2	0044641	KARANJIA	ARDESHIR	EDUL	0070898	KARANJIA		SOLI
08/05/2020	Equity	3000	2	0070822	TRIVEDI	B	VIMAL	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
04/12/2020	Equity	1500	2	0006319	AGARWAL		SARLA	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
15/12/2020	Equity	500	2	0070676	MARTHAK	VITHALDAS	ASHOK	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
15/12/2020	Equity	500	2	0070676	MARTHAK	VITHALDAS	ASHOK	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
24/12/2020	Equity	500	2	0061928	RAGHAVENDRA	G	K	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
08/01/2021	Equity	500	2	0070890	ANURADHA		BADVELI	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
18/02/2021	Equity	500	2	0031469	JAIN	JITMAL	SANJAY	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
26/02/2021	Equity	750	2	0070892	WALIA		VANITA	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
08/03/2021	Equity	1500	2	0006332	AGARWAL		SHARDA	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
08/03/2021	Equity	500	2	0010843	CHATTERJEE		GOUTAM	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
27/03/2021	Equity	250	2	0070895	VAKIL	JITENDRA	HEMANT	0069672	LTD	SECURITIES DEPOSITORY	NATIONAL
31/03/2021	Equity	1500	2	0070896	GAUR		PRAKASH	0066644	LTD	DEPOSITORY SERVICES I	CENTRAL
17/10/2020	Equity	9000	2	0070887	SHAH	MANHER	KOKILA	0066644	LTD	DEPOSITORY SERVICES I	CENTRAL
06/11/2020	Equity	3500	2	0001090	BUDDHARAU	RAJU	VISWANADHA	0066644	LTD	DEPOSITORY SERVICES I	CENTRAL
03/02/2021	Equity	1500	2	0070893	KALRA		ANIL	0066644	LTD	DEPOSITORY SERVICES I	CENTRAL
26/02/2021	Equity	1000	2	0019468	MALANI		JYOTI	0066644	LTD	DEPOSITORY SERVICES I	CENTRAL
							SHANTI	0066644	LTD	DEPOSITORY SERVICES I	CENTRAL



Details of Foreign institutional investors' (FIIs) holding shares of the company - 31/03/2021

Name of the FII	Address	Date of Incorporation	Country of Incorporation	No. of Shares Held	% of Shares Held
LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			21317	0.01
THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			601378	0.19
EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			988810	0.31
EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			55855	0.02
UTAH STATE RETIREMENT SYSTEMS	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			32989	0.01
T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			62028	0.02
CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			33472	0.01
DIMENSIONAL EMERGING MARKETS VALUE FUND	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			854627	0.27
OPSEU PENSION PLAN TRUST FUND	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			78111	0.02
DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			46924	0.01
WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			28520	0.01
WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			68205	0.02
GOVERNMENT PENSION FUND GLOBAL	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			847762	0.27
MORGAN STANLEY ASIA (SINGAPORE) PTE. - ODI	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			748301	0.23
JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS VALUE TRUST	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			29627	0.01
JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			11500	0.00
QUEENSLAND INVESTMENT TRUST NO 2	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			34408	0.01
EMERGING MARKETS TARGETED VALUE PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			26493	0.01
WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			301113	0.09
WISDOMTREE EMERGING MARKETS SMALLCAP DIVIDEND FUND	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			628207	0.20
WISDOM TREE ISSUER PLC - WISDOM TREE EMERGING MARKETS SMALLCAP DIVIDEND UCITS ETF	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			8320	0.00
DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	CITIBANK N.A. CUSTODY SERVICES PFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098			184	0.00
VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063			1275	0.00
ALASKA PERMANENT FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			94991	0.03
CITY OF NEW YORK GROUP TRUST	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001			172057	0.05





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CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	268444	0.08
SA EMERGING MARKETS VALUE FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	14261	0.00
CRESTA FUND LTD	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	11367245	3.55
SPDR S AND P EMERGING MARKETS SMALL CAP ETF	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	125424	0.04
VANGUARD TOTAL WORLD STOCK INDEX FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	61019	0.02
THRIVENT PARTNER WORLDWIDE ALLOCATION FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	27042	0.01
ASMANAGED BY ABERDEEN ASSET MANAGERS LIMITED	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	849805	0.27
ALBULA INVESTMENT FUND LTD	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	330453	0.10
VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEX FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	56063	0.02
THRIVENT INTERNATIONAL ALLOCATION PORTFOLIO	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	44530	0.01
EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	89501	0.03
EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	32265	0.01
ACADIAN EMERGING MARKETS PORTFOLIO	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	1938705	0.61
ACADIAN EMERGING MARKETS SMALL CAP EQUITY FUND LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	446433	0.14
PENSION RESERVES INVESTMENT TRUST FUND - ACADIAN	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	16657	0.01
DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	15861	0.00
OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	1702	0.00
VANGUARD INVESTMENTS FUNDS (ICVC-VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	44951	0.01
EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS (ICVC	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	34490	0.01
AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	228509	0.07
THRIVENT CORE EMERGING MARKETS EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	8035	0.00
AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	1109122	0.35
CALIFORNIA STATE TEACHERS RETIREMENT SYSTEM - STATE STREET GLOBAL ADVISORS TRUST COMPANY 1	ICICI BANK LTD SMS DEPT 1ST FLOOR EMPIRE COMPLEX 414 S B MARG LOWER FORT MUMBAI 400001	184500	0.06
INDIA MAX INVESTMENT FUND LIMITED	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI 400001	24954	0.01
DFA INTERNATIONAL VECTOR EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI 400001	22783	0.01
DFA INTERNATIONAL CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES OPERATIONS 3RD FLOOR, 23-25, M.G. ROAD FORT, MUMBAI, INDIA 400001	87069	0.03
GOLDMAN SACHS (SINGAPORE) PTE. - ODI	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	2358610	0.74
APMS INVESTMENT FUND LIMITED			

FIRST WATER FUND	KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI BLDG N. 21 2ND FLR ZONE I CUSTODY SERVS INFINITY PARK GEN A.K.VAIDYA MARG MALAD E MUMBAI 400097	300000	0.09
DAUPHINE MAURITIUS INVESTMENT LIMITED	J.P.MORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	9634	0.00
VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	1814897	0.57
BIIMA-UNIVERSAL-FONDS I	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	124712	0.04
VANGUARD EMERGING MARKETS STOCK INDEX FUND, ASERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	2047039	0.64
VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	39281	0.01
VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	57543	0.02
VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	539373	0.17
ADVANTAGE ADVISERS INDIA FUND INC	STATE BANK OF INDIA GLOBAL CUSTODIAL SERVICES SECURITIES SERVICE BR BOMBAY MAIN BR. BLDG. II FLOOR BOMBAY SAMACHAR MARG BOMBAY 400023	2000	0.00
		30499386	9.54



*Handwritten signature/initials in blue ink.*